Docket No. <u>TI-36447</u>

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

JFW

In re patent application of

Applicant:
Application No.:
Filed.
JUN 1 6 2005 💍
-3 200 5 4
TRADEMARK

K. R. Udayakumar et al.

10/803,445 March 18, 2004

FERROELECTRIC CAPACITOR HYDROGEN BARRIERS

AND METHOD FOR FABRICATING THE SAME

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

1. Pursuant to 37 C.F.R. 1.97 and 1.98, and in compliance with 37 C.F.R. 1.56, the Office's attention is directed to the patents, pending applications, publications and other information listed on the attached PTO-1449. A copy of each listed document is enclosed except for: (a) pending applications or (b) those previously cited or submitted to the Office in the following application(s) upon which this application relies for an earlier filing date under 35 U.S.C. 120:

Serial No.:	
Filing Date:_	

Regarding any document, publication or other information for which a date is not given on the attached PTO-1449, Applicant(s) believe(s) the same may qualify as "prior" art to this application and should be treated accordingly, although Applicant(s) reserve(s) the right to contest the prior art status of any document, publication or information, should issue arise. In addition, the submission of any reference on the attached PTO-1449 does not constitute an admission that such reference is available prior art.

- 2. Regarding each listed document that is not in the English language, an English-language translation accompanies this Statement as indicated on the attached PTO-1449 or a concise explanation of the relevance of the document is set forth in the following document(s):
 - (a) ____ Copy of each English language version of a search report indicating the degree of relevance found by the foreign office of each document being submitted from the search report.
 - (b) ___ Attachment entitled "Concise Explanation of Relevance of Non-English Language Documents".
 - 3. Pursuant to 37 C.F.R. 1.97(b) this Statement is being filed (one must be checked):
 - (a) Within 3 months of the filing date or date of entry into the National Stage.
 - (b) ____ Before the mailing date of a first Office Action on the merits. If this Statement is not filed before the mailing date of a first Office Action on the merits, the required certification is given below or, in the absence thereof, the Office is authorized to charge the required fee set forth in 37 C.F.R. 1.17(p) to Deposit Account No. 20-0668 for consideration of this Statement.
 - (c) ____ Before the mailing date of a first Office Action after the filing of a request for continued examination under 37 C.F.R. 1.114.

(d) X After the period set forth in 37 C.F.R. 1.97(b) but before the mailing date of either a final action or a notice of allowance. (1) X The required certification is given below, or (2) ___ Enclosed is a check covering the fee set forth in 37 C.F.R. 1.17(p) for consideration of this Statement, or (3) ___ Charge the fee set forth in 37 C.F.R. 1.17(p) to Deposit Account No. 20-0668 (e) After the mailing date of either a final action or a notice of allowance, but before payment of the issue fee. Petition hereby is made for consideration of this Statement and the required certification is indicated below. (1) ___ Enclosed is a check covering the fee set forth in 37 C.F.R. 1.17(i)(1), or (2) ___ Charge the fee set forth in 37 C.F.R. 1.17(i)(1) to Deposit Account No. 20-0668. 4. Certification (if applicable) (a) ____ The undersigned hereby certifies that each item of information contained in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than 3 months prior to the filing of this Statement. (b) X The undersigned hereby certifies that no item of information contained in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the undersigned's knowledge after making reasonable inquiry, was known to any individual designated in 37 C.F.R. 1.56(c) more than 3 months prior to the filing of this Statement. 5. The Commissioner is hereby authorized to charge any additional fees or credit any overpayment to Deposit Account No. 20-0668. Respectfully Submitted. **ESCHWEILER & ASSOCIATES, LLC**

> Thomas G. Eschweiler Registration No. 36,981

National City Bank Building 629 Euclid Avenue, Suite 1210 Cleveland, Ohio 44114 (216) 502-0600

CERTIFICATE OF MAILING

I hereby certify that this paper (along with any paper or item referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as first-class mail in an envelope addressed to: Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Date: <u>June 13, 2005</u>

Christine Gillroy
Christine Gillroy

Approved for use through 7/31/2006 OMB 0651-0031

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	Sheet	1	of	1	Attorney Docket No.	TI-36447

	U.S. PATENT DOCUMENTS							
		U.S. Patent Document		Name of Patentee or	Date of Pub. of			
Exam. Initials*	Cite No. ¹	Number	Kind Code ² (if known)	Applicant of Cited Doc.	Cited Doc. (mm-dd-yyyy)	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear		
	AA	6,249,014	B1	Bailey	06/19/2001	Entire Document		
	AB	2002/0011616	A1	Inoue et al.	01/31/2002	Entire Document		
	AC	2002/0016012	A1	Kronke et al.	02/07/2002	Entire Document		
	AD							
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	FOREIGN PATENT DOCUMENTS							
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	OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS					
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US and Foreign Patent Documents: ¹Unique citation designation number. ²See attached Kinds of U.S. Patent Documents. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. Applicant is to place a check mark here if English language Translation is attached.

Other Prior Art/Non-Patent Literature Documents: 1Unique citation designation number. 2Applicant is to place a check mark here if English Translation is attached.

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